

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL H. EISELT, ET AL.

Serial No.:

10/737,136

Filed:

December 15, 2003

Title:

SINGLE FIBER DUPLEX OPTICAL TRANSPORT

Examiner:

Not yet assigned

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on attached Form PTO-1449A.

### U.S. Patents/Published Applications

Pat./Publ. No.	<u>Inventor</u>	<u>Date</u>	
6,438,286	Duerksen,	et al.	08/20/02
6,111,675	Mao, et al	•	08/29/00
5,825,949	Choy, et a	1.	10/20/98
6,301,340	Sanson, et	al.	10/09/01
2002/0009060A1	Gross		01/24/02
5,351,146	Chan, et a	1.	09/27/94
6,519,060	Liu		02/11/03
6,005,991	Knasel		12/21/99
6,081,359	Tekehana,	et al.	06/27/00
2001/0048799A1	King, et al	l.	12/06/01
6,339,663	Leng, et a	1.	01/15/02
6,327,062	King, et al	<b>l.</b>	12/04/01

2002/0008913A1	Yin, et al.	01/24/02
6,493,117	Milton, et al.	12/10/02
2003/0067655A1	Pederson, et al.	04/10/03
2003/002776A1	Graves, et al.	01/02/03
2001/0053161A1	Tomizawa, et al.	12/20/01
5,940,456	Chen, et al.	12/17/99
2003/0016705A1	Bellata, et al.	01/23/03
2003/0055998A1	Saha, et al.	03/20/03

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above patents and to question the relevance and materiality of the patents and publications listed herein, in whole, in part, or in combination, subsequent to filing this information disclosure statement.

Date: APRIL 30 2004

George R. Schultz Reg. No. 35,674

Respectfully submitted,

Schultz & Associates, P.C. 5400 LBJ Freeway Suite 525 Dallas, Texas 75240 (214) 210-5940

Fax: (214) 210-5941

email: russ.schultz@grspc.com

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

Sheet 1

# Application Number 10/737,136 Filing Date 12/15/2003 First Named Inventor Michael Eiselt Art Unit

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Examiner Name

Attorney Docket Number 21071.0195

				DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2 (f known)</sup>	0/00/0000		- Igaico, ppou
		<sup>US-</sup> 6,438,286	8/20/2002	Duerksen	
		<sup>US-</sup> 6,111,675	8/29/2000	Mao	
		<sup>US-</sup> 5,825,949	10/20/1998	Choy	
		<sup>US-</sup> 6,301,340	10/9/2001	Sanson	
		US2002/0009060A1	1/24/2002	Gross	
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		<sup>US-</sup> 6,005,991	12/21/1999	Knasel	
		<sup>US-</sup> 6,081,359	6/27/2000	Tekehana	
		<sup>US-</sup> 2001/0048799A1	12/6/2001	King	
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		<sup>US-</sup> 6,327,062	12/4/01	King	
		<sup>US-</sup> 2002/0008913A1	1/24/2002	Yin	
		<sup>US-</sup> 6,493,117	12/10/2002	Milton	
		US- 2003/0067655A1	4/10/2003	Pederson	
		US- 2003/002776A1	1/2/2003	Graves	
		<sup>US-</sup> 2001/0053161A1	12/20/2001	Tomizawa	
		<sup>US-</sup> 5,940,456	12/17/1999	Chen	
		<sup>US-</sup> 2003/0016705A1	1/23/03	Bellata	

		FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 *</sup> Number * *Kind Code <sup>5</sup> ( <i>If known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/737,136	
INFORMATION DISCLOSURE	Filing Date	12/15/2003	
	First Named Inventor	Michael Eiselt	
STATEMENT BY APPLICANT	Art Unit		
(Use as many sheets as necessary)	Examiner Name		
Sheet 2 of 2	Attorney Docket Number	21071.0195	

Officer				<del>*</del>		
			U. S. PATEN	T DOCUM	ENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Ар	Name of Patentee or plicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	FOREIGN PATI	blication	Name of Patentee or	Pages, Columns, Lines,
Initials*	No.1		MM-	Date DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear T <sup>6</sup>

		FOREI	<b>GN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	<b>-</b> 6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
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EISELT, ET AL.

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10/737,136

Filing Date:

December 15, 2003

Title:

SINGLE FIBER DUPLEX OPTICAL TRANSPORT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### **CERTIFICATE OF MAILING**

The undersigned hereby certifies that the following documents:

- 1) Supplemental Information Disclosure Statement;
- 2) Transmittal Letter (in duplicate);
- 3) Certificate of Mailing;
- 4) Form PTO/SB/08A; and
- 5) Postcard receipt.

relating to the above application, were deposited as First Class Mail with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 5/4/04...

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### SCHULTZ & ASSOCIATES

A PROFESSIONAL CORPORATION ATTORNEYS AND COUNSELORS AT LAW

One Lincoln Centre 5400 LBJ Freeway, Suite 525 Dallas, Texas 75240 (214) 210-5940 Facsimile (214) 210-5941

April 28, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re:

U. S. Patent Application No. 10/737,136 for "Single Fiber Duplex Optical Transport"

Atty. Docket: 21071.0195

Sir:

Enclosed for filing are the following documents:

- 1) Supplemental Information Disclosure Statement;
- 2) Transmittal Letter (in duplicate);
- 3) Certificate of Mailing;
- 4) Form PTO/SB/08A; and
- 5) Postcard receipt.

The Commissioner is hereby authorized to charge any underpayment of fees, or credit any overpayment, to Deposit Account No. 50-2225.

Very truly yours,

George "Russ" Schultz

GRS:sc Enclosure